## All-Electric Nonassociative Learning in Nickel Oxide

Sandip Mondal,\* Zhen Zhang, A. N. M. Nafiul Islam, Robert Andrawis, Sampath Gamage, Neda Alsadat Aghamiri, Qi Wang, Hua Zhou, Fanny Rodolakis, Richard Tran, Jasleen Kaur, Chi Chen, Shyue Ping Ong, Abhronil Sengupta, Yohannes Abate, Kaushik Roy, and Shriram Ramanathan

Habituation and sensitization represent nonassociative learning mechanisms in both non-neural and neural organisms. They are essential for a range of functions from survival to adaptation in dynamic environments. Design of hardware for neuroinspired computing strives to emulate such features driven by electric bias and can also be incorporated into neural network algorithms. Herein, cellular-like learning in oxygen-deficient  $NiO_x$  devices is demonstrated. Both habituation learning and sensitization response can be achieved in a single device by simply controlling the magnitude of the electric field. Spontaneous memory relaxations and dynamic redistribution of oxygen vacancies under electric bias enable such learning behavior of  $NiO_x$  under sequential training. These characteristics in simple device arrays are implemented to learn alphabets as well as demonstrate simulated algorithmic use cases in digit recognition. Transition metal oxides with carefully prepared defect concentrations can be highly sensitive to electronic structure perturbations under moderate electrical stimulus and serve as building blocks for next-generation neuroinspired computing hardware.

### 1. Introduction

Cells comprise fundamental, structural, functional, and biological elements of living organisms and are capable of learning new information through training.<sup>[1–3]</sup> Cells can acquire knowledge

S. Mondal,<sup>[+]</sup> Z. Zhang, Q. Wang, S. Ramanathan School of Materials Engineering Purdue University West Lafayette, IN 47907, USA E-mail: sandip@ee.iitb.ac.in

A. N. M. N. Islam, A. Sengupta School of Electrical Engineering and Computer Science The Pennsylvania State University University Park, PA 16802, USA

The ORCID identification number(s) for the author(s) of this article can be found under https://doi.org/10.1002/aisy.202200069.

<sup>[+]</sup>Present address: Department of Electrical Engineering, Indian Institute of Technology (IIT) Bombay, Powai, Mumbai 400076, India

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from previous incidents and react accordingly to a new situation, a critical survival instinct which is a universal feature of all organisms.<sup>[4-6]</sup> Cellular-like learning can occur genomically (Figure 1a), where a gene memorizes<sup>[7,8]</sup> and transmits the learnt information to the future generation upon cell divisions referred to as epigenetic learning.<sup>[5,9,10]</sup> There are different types of epigenetic cellular-like learning observed wherein habituation and sensitization are two elementary nonassociative learning forms.<sup>[11,12]</sup> Epigenetic habituation learning can be defined as a decrease in the magnitude of reaction to an iterative training cycle that enables the organism to ignore repetitive stimuli.<sup>[13]</sup> The epigenetic habituation occurs when the input to a gene carries to output with a negative genomic regulator which is marked as inhibitory (Figure 1b). As a result, the output reduces

due to the memorization of inhibitory negative epigenetic marking upon recurring stimuli. In the case of sensitization (Figure 1c), the behavioral output of a gene is the opposite of habituation that indicates an increase in response with respect to the recurring stimulus. When the inputs are given to the gene, the output

R. Andrawis, K. Roy School of Electrical and Computer Engineering Purdue University West Lafayette, IN 47907, USA

S. Gamage, N. A. Aghamiri, Y. Abate Department of Physics and Astronomy University of Georgia Athens, GA 30602, USA

H. Zhou, F. Rodolakis X-ray Science Division Advanced Photon Source Argonne National Laboratory Lemont, IL 60439, USA

R. Tran, J. Kaur, C. Chen, S. P. Ong Department of NanoEngineering University of California San Diego 9500 Gilman Dr, Mail Code 0448, La Jolla, CA 92093-0448, USA

J. Kaur Department of Materials Science & Engineering University of California San Diego 9500 Gilman Dr, Mail Code 0448, La Jolla, CA 92093-0448, USA



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**Figure 1.** Nonassociative learning in NiO<sub>x</sub>. a) Schematic of a gene (DNA). b) Habituation indicates a reduction in the response after repetitive exposure to stimuli. c) Sensitization implies an increase in response with respect to the iterative stimulus. The positive/negative signs represent marked genes with respect to inputs (training). d) Schematic of NiO<sub>x</sub> device architecture. The input training pulses were applied between the top (Pd) and bottom (Pt) electrode which causes movement of oxygen vacancies depending on the amplitude of the pulses. (inset) Optical image of an array of NiO<sub>x</sub> test chip. e–f) Relative percentage change in resistance with respect to the training times when each training cycle was performed for 52 s with an interval of T = 8 s. The pulse width was kept constant to 500 ms with the amplitude of input pulses of  $E_1 = 5$  mV nm<sup>-1</sup> for habituation (e) and 30 mV nm<sup>-1</sup> for sensitization (f). (inset) Triangular pulses used for measuring the change in resistance state. (bottom panels) Square-shaped training pulse series applied to the device for the habituation and sensitization measurement.

increases with repetitive input correspondingly. Emulation of epigenetic behavior in synthetic matter is still in early stages due to complex requirements of electrical stimulus-sensitive history-dependent metastable states in the materials which can respond, remember, and forget input signals.<sup>[14]</sup> In electronic devices, migration of charged defects such as oxygen vacancies can help realize distinct resistance states corresponding to the learning process. By manipulating their response to electrical stimulus, different forms of learning may be realized. This provides an analogy to the processes mediated by biological matter in cells.

At the outset, it is important to note that nonassociative learning is distinct from commonly reported synaptic potentiation and depression in artificial synapses (Figure S1, Supporting Information). Potentiation and depression involves either positive or negative training electric pulses applied without regard to the specific time interval.<sup>[15,16]</sup> On the other hand, habituation and sensitization learning plasticity (Figure S1b,c, Supporting Information) are studied under multiple electrical training events including consideration of the relaxation time processes. During the interval period in habituation and sensitization training, no external stimulus is applied and the system spontaneously begins to relax. Furthermore, nonassociative learning expects increase or decrease of response with respect to the training number for identical stimulus, whereas potentiation and



depression do not necessarily rely on such variation in response. This necessitates the need for complex energy landscapes with characteristic stimuli-sensitive timescales of relaxing to the ground state. Emulating nonassociative learning has drawn substantial interest in brain-inspired computing and information processing as it is a fundamental form of learning.<sup>[17]</sup> Habituation and sensitization learning are present in various organisms and is essential to enhance their survival.<sup>[18]</sup> Nonassociative learning has been realized in traditional very-large-scale integration technology using a large number of transistors ( $\approx$ 20) as well as other electronic components. These powerful circuits are capable of image processing as well as image recognition with improved efficiency and highly accurate feature extraction.<sup>[10–21]</sup> There is interest in emulating such features in nonsilicon devices in the emerging fields of neuromorphic computing.<sup>[22]</sup>

Mimicry of nonassociative learning using the single-resistive two-terminal oxide-based solid-state device is promising to reduce circuit-level complexity.<sup>[14,15]</sup> To date, environmental habituation has been demonstrated by switching between different gases (e.g., H<sub>2</sub>, O<sub>3</sub>, and Ar) at high temperatures in nickelbased oxides.<sup>[23,24]</sup> However, an electric field-driven solid-state device which can emulate nonassociative learning is still lacking. Indeed, a large body of literature exists on potentiation and depression<sup>[15,16]</sup> and forgetting behavior<sup>[25–29]</sup> using different types of oxide memristors including NiO but their response under sequential electrical training and relaxation sessions is unknown.<sup>[16]</sup>

Here, we emulate nonassociative learning behavior using electric bias in solid-state devices from the oxygen-deficient binary nickel oxide (henceforth referred to as  $NiO_x$  wherein x < 1) at room temperature. A typical schematic of the NiOx-based solid-state device architecture is shown in Figure 1d and S2, Supporting Information. Both habituation and sensitization behavior are demonstrated in the same solid-state device simply by controlling different amplitudes of training pulses that are independent of repetition intervals of electrical stimulation. We relate the temporal reduction of relative resistance modulation to habituation which occurs due to dispersion of oxygen vacancies near the electrode by the low amplitude of electric field  $(E_1 = 5 \text{ mV nm}^{-1})$ . A higher electric field  $(E_1 = 30 \text{ mV nm}^{-1})$ reduces excess oxygen vacancies near the top electrode that enhances the response of the resistance state corresponding to sensitization. We then present a nonassociative learning model related to habituation and sensitization based on comparator theory.<sup>[30]</sup>

#### 2. All Electric Nonassociative Learning in NiO<sub>x</sub>

The training of NiO<sub>x</sub> has been performed by applying a series of input electrical pulses. During training, the pulse amplitude ( $E_1$ ) was retained constant to 5 mV nm<sup>-1</sup> and width of 0.5 s for a repetition interval (*T*) of 8 s (Figure 1e and S3, Supporting Information). The NiO<sub>x</sub> device shows a higher response to the training cycle and demonstrates a change in relative resistance of 7.6% after the first training cycle. The relative resistance change reduces to 4.4% and 3.8% after the second and third training cycles, respectively. The response of the NiO<sub>x</sub> device gradually decreases with the repetition of training cycles,

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indicating habituation to electric pulses. On the other hand, the response of  $NiO_x$  continuously increases when the training pulses were applied with higher amplitude ( $E_1$ ) of 30 mV nm<sup>-1</sup> by keeping exactly identical pulse width and repetition interval as used for the habituation experiment (Figure 1f and S3, Supporting Information). The early response of the device was found to be 13.6% (first training cycle) and then decreased 8% (second training cycle), indicating initial habituation; however, the response starts to increase after the second training cycle consistent with response noted in biology during sensitization measurements.<sup>[31]</sup> The response of the devices becomes equivalent to the initial response ( $\approx$ 13%) after eight training cycles, a significant increase in the response to 19% when the 11th cycle of training pulses was applied to the devices. Such increase in response with respect to the electrical training is a measure of sensitization behavior similar to what is noted in cellular organisms, shown in Figure 1c.

#### 3. Statistics of Nonassociative Learning

The response of the  $NiO_x$  device has been measured after the complete withdrawal of electrical stimulus for prolonged time (Figure 2a,b). The  $NiO_x$  device demonstrates complete recovery to its original resistive state and reproducibility of learning characteristics as the successive electrical training results in habituation and sensitization. The habituation experiment was performed by applying training pulses with amplitude and width of 5 mV nm<sup>-1</sup> and 0.5 s, respectively. The NiO<sub>x</sub> device continues to demonstrate habituation behavior with respect to the training cycle even after 24 h of withdrawal of training pulses in ambient (Figure 2a). A similar measurement was performed for the sensitization experiments by applying a higher amplitude of training pulses of  $30 \text{ mV} \text{ nm}^{-1}$  (Figure 2b). Here, the number of pulses applied for the training is similar to habituation cycles. The habituation and sensitization response of the devices has been reproduced with the same magnitude even after long rest time in air. Thus, nonassociative learning occurs in the solid-state system even if the stimulus is withdrawn for a prolonged time analogous to what is observed in neuroscience studies.[30]

In order to investigate the dependency of nonassociative learning in the presence of electrical stimuli, a set of experiments has been performed by varying the training interval (*T*). The effect of training interval (T) on habituation and sensitization experiments has been investigated by varying T from 6 to 52 s for a constant training time (Figure S4, Supporting Information). The device shows habituation and sensitization behavior irrespective of the repetition interval (Figure 2c,d). Further, the dependency of learning behavior on the amplitude of training pulses has been studied by varying amplitudes of training pulses where pulse width and training intervals were set to 0.5 s and T = 8 s, respectively (Figure S5, Supporting Information). The device demonstrates habituation behavior for the pulse amplitude ( $E_1$ ) varied from 5 to 15 mV nm<sup>-1</sup>, whereas the sensitization is observed at 25 mV nm<sup>-1</sup> and above (Figure 2e). A crossover response is observed from the device for a pulse amplitude of  $20 \,\mathrm{mV}\,\mathrm{nm}^{-1}$ , implying the learning behavior manifested by the strength of electric pulses, where the lower amplitude of





**Figure 2.** Training interval and bias amplitude-dependent habituation and sensitization. a,b) Habituation and sensitization measurement was executed by following the resting time of 24 h and applying training electric field of 5 and 30 mV nm<sup>-1</sup>, respectively. The NiO<sub>x</sub> device returns to the original resistive response after long rest period in normal laboratory environment. c) Training interval (*T*) dependence of the NiO<sub>x</sub> device with a constant pulse amplitude  $E_1 = 5 \text{ mV nm}^{-1}$ . The arrow indicates habituation in an electric field even after training interval *T* of 52 s, which is equal to the training time. d) Sensitization of NiO<sub>x</sub> with  $E_1 = 30 \text{ mV nm}^{-1}$  for different training intervals. The increased response is independent of the training interval. e) Amplitude of training pulse ( $E_1$ ) dependence of NiO<sub>x</sub> with a constant training interval T = 8 s. The critical electric field between habituation and sensitization is about 20 mV nm<sup>-1</sup>. (Inset) Movement of oxygen vacancies at different training pulses.

the pulses is responsible to create habituation and the higher amplitude of pulses is capable of generating sensitization behavior, indicating a controllable learning capability of  $\text{NiO}_x$  with respect to the selected electric field.

## 4. Electrothermal Physics Model for Habituation and Sensitization

The nonassociative learning of NiO<sub>x</sub> devices has been investigated qualitatively using a simple electrothermal physics model based on the concentration of oxygen vacancies near the electrode interface (Figure S6, Supporting Information). The model represents the oxygen vacancy motion near the electrode which is primarily responsible for nonassociative learning. The as-prepared NiO<sub>x</sub> contains a large number of oxygen vacancies (deposition conditions specifically chosen to create an oxygendeficient oxide) that forms conducting pathways.<sup>[32]</sup> Iterative training cycle with lower amplitude leads to decrease in oxygen concentration and accumulation of more oxygen vacancies near the top electrode, hence lowering the resistive response, representing habituation. On the other hand, the higher amplitude of electrical pulses is capable of dispersing the oxygen vacancies near  $\approx 10 \text{ nm}$  of the top electrode, indicating disruptions of conducting filaments, leading to a higher response to the relative change in resistance, leading to sensitization behavior.

#### 5. Cellular-like Learning Model

According to the stimulus model comparator theory,<sup>[29]</sup> when a noxious stimulus is presented repeatedly, the organism generates a model for the incoming stimulus. With the further appearance of a noxious stimulus, the strength of the experienced stimulus will be compared and the response generated accordingly. The experienced stimulus must be remembered for a short period of time for comparison purposes, indicating a short-term memory-like model as proposed in Figure 3a. This model proposes, if there is no stimulus within a short period of time, the previous memory will erase completely, and the organism will respond as if it is a new stimulus. However, the memorization level increases with respect to the strength of the stimulus, indicating higher chance of survival even in an unpleasant environment. Here, two types of stimulus have been used for retraining, where a weak stimulus causes habituation and strong stimulus leads to sensitization.

To benchmark against the comparator model, the adaptive forgetting mechanism (i.e., retention behavior) of NiO<sub>x</sub> has been investigated under different stimulus strengths (Figure 3b). A decay relaxation time has been extracted for different pulse amplitudes (inset of Figure 3b). It has been observed that the relaxation time enhances for increased pulse amplitude. Moreover, the forgetting behavior has been studied by applying repetitive electric pulses (50 training pulses) on the devices (Figure 3c), demonstrating 10X longer time memory retention

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Slow memory decay Strong Input Retraining (strong input) (c) 100 6 Πτ Memory retention (%) (s) 80 2 60 Training (E<sub>1</sub>) 40 (s) 20 20 0 Training (E1) 30  $E_1 > 0$ 0 10 5 10 15 20 Retention after 50<sup>th</sup> training pulse (s) Retention after one training pulse (s)

Figure 3. Habituation and sensitization model based on "stimulus model comparator theory.<sup>[30]</sup>" a) A proposed model on habituation and sensitization based on retraining using weak and strong stimulus (inputs), respectively. b,c) The resistance decay behavior of NiO<sub>x</sub> has been compared with the decay time constant ( $\tau$ ), extracted from the decay curve by fitting the exponential relation,  $\Delta R(t)/\Delta R_o = \exp[1-(t/\tau)^{\beta}]$ , where,  $\Delta R(t) = R(t) - R_{\text{pristine}}$  and  $\Delta R_{o} = R_{o} - R_{pristine}$  in which R (t) is the resistance at any specific time t and  $R_{o}$  is the resistance measured immediately after applying b) single and c) 50 training pulses and index  $\beta$  ranging from 0 to 1. (insets)  $\tau$  representing relaxation time constant with respect to the amplitude of training pulses. d) A statistical distribution of memory window was collected from 65 devices measured immediately after applying the pulses of  $\pm 30$  mV nm<sup>-1</sup> for 500 ms.

Retraining

(weak input)

compared with a single training pulse (inset of Figure 3c). This result is quite consistent with the forgetting behavior proposed by Ebbinghaus,<sup>[33]</sup> suggesting requirements of retraining.

Weak Input Fast memory decay

ΔΝCΕΓ

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(a)

(b)

Memory retention (%)

100

80

60

40

20

0 

0

To examine the device-to-device reproducibility of the resistive switching window, we fabricated 65 devices on a chip and measured the switching properties follow by space-chargelimited conduction mechanism analysis (Figure 3d and S7, Supporting Information). The histogram of the switching window demonstrates a higher resistive state concentrated at 4.86 k $\Omega$ , where 90% of the device lies within the switching window of 4.86 k $\Omega \pm 45 \Omega$ , for the switching pulse of +30 mV nm<sup>-1</sup> with a pulse width 0.5 s. The devices also exhibit a lower resistive state, statistically concentrated at  $4.35 \text{ k}\Omega$  with a variation of  $\pm 58\Omega$ , while a switching pulse of  $-30 \,\mathrm{mV} \,\mathrm{nm}^{-1}$  was applied to the devices with similar pulse width. The device-to-device variation of the switching state ( $\Delta\Omega/\Omega$ mean) is found to be less than 2%, indicating scalability of the devices. In addition, every device shows a wide memory window with respect to the pulse width and demonstrates potentiation and depression (Figure S8, Supporting Information).

## 6. Elementary Mechanisms Leading to Learning in NiO<sub>x</sub>

Various types of resistive memory behaviors have been observed in NiO<sub>x</sub> ranging from bistable memory switching, monostable threshold switching, to nonassociative learning reported in this work (Table S1, Supporting Information, compiles an exhaustive list of switching studies on NiO). Essentially, the stoichiometry of Ni:O in the compound determined by oxygen partial pressure during synthesis greatly influences the resulting behavior under electric fields (Table S2, Supporting Information), for example, threshold switching behavior was observed in oxygen-rich and near-stoichiometric samples.<sup>[34]</sup> On the contrary, in this work, the NiO<sub>x</sub> samples showing nonassociative learning behavior were grown in an oxygen-starved environment (Figure S9, Supporting Information). In order to study the role of oxygen vacancies in realizing the learning behavior, the as-prepared NiO<sub>x</sub> samples were annealed at different temperatures up to 450 °C in air. After annealing at different temperatures up to 450 °C, the resistivity of the films increases from  $10^6$  to  $10^8 \Omega$  cm (Figure 4a), indicating the annihilation of oxygen vacancies during postannealing. The reduction in oxygen vacancy concentration upon air annealing was further investigated using the combination of synchrotron spectroscopy and first-principle modeling as discussed next. NiOx devices fabricated from as-grown samples demonstrate stable switching under an electric field ( $E_1$ ) of  $\pm 30 \,\mathrm{mV} \,\mathrm{nm}^{-1}/0.5 \,\mathrm{s}$ , as shown in Figure 4b and S10, Supporting Information. Moreover, the as-prepared device shows a continuous update of current when multiple current-voltage sweeps were performed continuously (Figure S11, Supporting Information). After annealing at 450 °C for 1 h in air, the NiO<sub>x</sub> device does not display any switching behavior even under higher electric field (Figure 4b).<sup>[35,36]</sup> Analysis of the carrier density from midinfrared scattering-type scanning near-field optical microscopy (s-SNOM) is presented in Figure 4c, which indicates a greater free carrier density in as-grown samples (see Experimental Section for details). The resistance switching characteristics are found only in

Input

Output

Habituation

Sensitization

(d)

Counts

20

15

10

5

0

4.8k

Input

+E1

4.9k

R (Ω)

Time

Time

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**Figure 4.** Mechanisms enabling cellular-like learning in oxygen-deficient NiO<sub>x</sub>. a) Electrical resistivity with visual color change in NiO<sub>x</sub> films after annealing at different temperature (Figure S2, Supporting Information). b) (bottom) Optimal resistive switching state in the as-prepared (AP) NiO<sub>x</sub> is essential for cellular-like learning. The resistance increases from 4.7 k $\Omega$  (pristine) to 5.2 k $\Omega$  for application pulse width of +30 mV nm<sup>-1</sup>/0.5 s and decreases to 4 k $\Omega$  owing to the application of -30 mV nm<sup>-1</sup>/0.5 s. The simulated curve follows the trends of switching characteristics. (Top) After the heat treatment at 450 °C/1 h, the device does not display any switching behavior due to the annihilation of oxygen vacancies. c) s-SNOM second-harmonic amplitude images taken at laser wavelength of  $\lambda = 10.5 \,\mu$ m of NiO<sub>x</sub> samples prepared in 2% oxygen environment and annealed at different temperatures (as prepared, 350 °C, 400 °C, and 450 °C). d) Oxygen peaks in core level measured by XPS for as-prepared to 450 °C-annealed NiO<sub>x</sub> films, respectively. The oxygen peaks fit by three distinct components corresponding to lattice oxygen (O<sub>L</sub>) are cyan, oxygen vacancies (O<sub>V</sub>) violet, and hydroxide (O<sub>OH</sub>) yellow. e) Stoichiometry of NiO<sub>x</sub> where x denotes the ratio of oxygen to nickel. f) Synchrotron X-ray diffraction of NiO<sub>x</sub> (111) peaks after annealing. Lattice constant expansion of  $\approx 0.19\%$  is observed for AP NiO<sub>x</sub> over 450 °C NiO<sub>x</sub> film. g) Ex situ XANES-measured spectrum for AP NiO<sub>x</sub> and after annealing. The weight of the O K-edge peaks reduces (arrow direction) for AP NiO<sub>x</sub>, indicating a decrease of unoccupied state in O 2*p* orbital with higher oxygen vacancies. (inset) Zoomed peak intensity variation. h) Normalized Ni K-edge XANES spectra of AP NiO<sub>x</sub> and 450 °C NiO<sub>x</sub> with pre-edge features in zoomed view (inset).

oxygen-deficient films. The defect states in NiO<sub>x</sub> film can be further characterized by X-ray photoelectron spectroscopy (XPS) by measurement of O 1s peak and Ni 2p peaks (Figure S12, Supporting Information). As Figure 4d shows, the O1s XPS peak is split into oxygen vacancies (O<sub>V</sub>) and lattice oxygen (O<sub>L</sub>) and hydroxide (OH).<sup>[37,38]</sup> A noticeable reduction in O<sub>V</sub> peak for the air-annealed NiO<sub>x</sub> device indicates an approach toward stoichiometric composition (NiO<sub>0.97</sub> after annealing at 450 °C)<sup>[39]</sup> (Figure 4e). On the other hand, the as-prepared  $NiO_x$  film contains higher concentration of oxygen vacancies ( $NiO_{0.85}$ ) (Figure S13, Supporting Information). Similar trends are observed by Raman spectroscopy (Figure S14, Supporting Information).

The films were further characterized using synchrotron X-ray diffraction, as shown in Figure 4f. A shift of NiO<sub>x</sub> (111) diffraction peak to higher Q ( $=2\pi/d$ ) value after annealing at 450 °C





**Figure 5.** Proof-of-concept learning with NiO<sub>x</sub> device arrays and implementation of homeostatic regulation. a) The light intensity of letter "P" has been controlled by  $6 \times 8$  of NiO<sub>x</sub> devices corresponding to change in resistance with respect to the training cycle. b) The training has been performed by applying 50 training pulses amplitude  $E_1 = 5 \text{ mV m}^{-1}$  and width 500 ms (Video S1, Supporting Information). The delay between training pulses was kept constant to 8 s. c) A systematic change in light intensity is recorded with respect to time demonstrating the occurrence of habituation. The intensity was scaled with respect to the first training cycle. d) Sensitization measurement has been performed by applying a similar number of training pulses of amplitude  $E_1 = 30 \text{ mV mm}^{-1}$  and width 500 ms. The intensity of "P" increases due to the continuous training process after the initial decrease of intensity (Video S2, Supporting Information). Here, the intensity is scaled into tenth training pulse. e) Systematic change in intensity at different training cycles has been recorded. (insets) Change in resistance for X–Y after every training cycle for habituation and sensitization respectively. f) Effect of neuronal adaptive decay realizing homeostasis on a toy network. Without adaptively changing the decay rate (no homeostasis), only a few neurons fire and dominate. In contrast, adaptive decay functionality in the neuronal devices provides an alternate pathway to enable homeostasis in the network, thereby allowing all neurons to competitively learn. g) The network architecture consisting of an input layer of size equal to the dimensionality of the MNIST training images, an excitatory layer of 225 LIF neurons with adaptive decay, and an inhibitory neuron layer for implementing lateral inhibition. h) The final weight patterns after training over the 60 000 training images. The network achieves an accuracy of 84.8% over the test set of 10 000 images.

corresponds to a decrease in the lattice constant (*d*) by 0.19%. The as-prepared (AP) NiO<sub>x</sub> sample has larger concentration of oxygen vacancies, which results in lattice expansion. Additional X-ray absorption spectroscopy (XAS) measurements have been performed to investigate oxygen vacancies in AP-NiO<sub>x</sub> (Figure 4g). The lower weight of O-K-edge for AP-NiO<sub>x</sub> demonstrates less unoccupied states of O 2*p* orbitals accompanied with

the oxygen deficiency and is consistent with first-principles calculations (Figure S15, Supporting Information). After annealing, the as-prepared NiO<sub>x</sub> becomes more stochiometric as the spectrum weight of the 450 °C-annealed NiO<sub>x</sub> shifts to lower energy in Ni L-edge (Figure S16, Supporting Information). The X-ray absorption near-edge structure (XANES) spectra of Ni-K-edge demonstrate a feature of Ni<sup>0</sup> and Ni<sup>2+</sup>, which indicates the

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appearance of oxygen vacancies in the system<sup>[40]</sup> (Figure 4h). After annealing at 450 °C, the Ni<sup>0</sup> feature becomes suppressed corresponding to the annihilation of oxygen vacancies during annealing (inset of Figure 4h).

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# 7. Proof-of-Concept Application of Nonassociative Learning in a Device Array

To illustrate nonassociative learning behavior, habituation and sensitization experiments have been carried out on a  $6 \times 8$  array grid, as shown in Figure 5. The  $NiO_x$  device array attempts to learn an alphabet "P" in the presence of an electric field, where the intensity of light-emitting diode (LED) bulb is a measure of learning (Figure 5a). The habituation measurement has been performed by applying 50 training pulses with an amplitude of 5 mV  $nm^{-1}$  for 0.5 s in each training cycle. Every training cycle was performed at an interval of 8 s (Figure 5b and Movie S1, Supporting Information). After the first training cycle, the array of NiO<sub>x</sub> devices learnt to program "P" with the highest intensity of LEDs. Further decrease in learning intensity to 20% is observed after the second training cycle. The change in intensity with respect to the training cycle is summarized in Figure 5c. A dramatic reduction in intensity close to zero is found after the tenth training cycle, indicating habituation. In contrast, a higher amplitude of electric field ( $E_1 = 30 \text{ mV nm}^{-1}$ ) causes enhancement in intensity even after using the constant value of other parameters that have been used for habituation measurement (Figure 5d). The enhancement in the intensity of LED array with respect to the training cycle of  $NiO_x$  is analogous to the level of sensitization noted in biological organisms (Figure 5e and Movie S2, Supporting Information).

### 8. Proof-of-Concept Application of Nonassociative Learning in Spiking Neural Network (SNN)

In neuroinspired systems, AI models have looked at learning from various levels of abstraction-focusing either on modeling the synaptic phenomenon of short-term plasticity<sup>[24,41]</sup> or on modulating the excitability of neuronal dynamics.<sup>[42]</sup> Here, we focus on the neuron activity modulation scenario and showcase that the cellular-like learning in NiO<sub>x</sub> can be used to implement homeostatic regulation in neurons,<sup>[43]</sup> essential for stability while learning. Homeostasis ensures a neuron, that has fired before, finds it harder to fire in the future (requires a greater input than previously). Similar to habituation, this ensures that a target level of activity is maintained in the network, with no single neuron dominating the firing pattern (Figure 5f). Implementation of temporal spiking neuron dynamics like the leaky-integrate-fire (LIF) model<sup>[44]</sup> augmented with homeostasis effects involves significant hardware overhead in complementary metal-oxidesemiconductor (CMOS) implementations. For instance, analog CMOS designs with transistors in subthreshold saturation regime involve complex feedback circuitry to implement homeostasis and consist of more than 20 transistors.<sup>[45,46]</sup> In contrast, NiO<sub>x</sub> devices can be used to mimic the leaky–integrate dynamics of the membrane potential with homeostasis at a one-to-one level through its intrinsic physics by leveraging its decay time

modulation property as a function of the operating electric field (Figure S17, Supporting Information). Using the device dynamics, we demonstrate this capability in a system-level application with a large-scale network simulation for learning handwritten digits from the MNIST dataset.<sup>[47]</sup> Our network (Figure 5g) with 225 neurons attained an accuracy of 84.8% on the MNIST test set—on par with networks of similar size.<sup>[48–50]</sup> The network with the adaptive decay scheme was able to implement homeostasis—inducing competition with no single neuron dominating (Figure 5h).

#### 9. Conclusion

Oxygen-deficient NiO<sub>x</sub> shows resistance switching under electric bias and spontaneous relaxation of memory. This combination of material properties enables demonstration of all-electric nonassociative learning: simple control of electric field shows switching between habituation and sensitization modes in a single device. Mott materials sensitive to electrical excitations can serve as building blocks to explore features of evolutionary biology for implementation in machine intelligence.

#### 10. Experimental Section

Synthesis of NiO<sub>x</sub> Films: The NiO<sub>x</sub> film was grown from a pure Ni target, using magnetron sputtering with a power of 100 W, where the applied voltage and current were controlled to 350 V and 300 mA (direct current), respectively. The NiO<sub>x</sub> film was grown at a pressure of 5 mTorr with flow of 1 sccm O<sub>2</sub> (2%) and 49 sccm (98%) Ar gas mixture. The film was grown at a temperature of 300 °C with a rate of  $\approx$ 3 nm min<sup>-1</sup>. To achieve a uniform film, the substrate rotated with a speed of 20 rpm during deposition. To compare with devices made from the pristine NiO<sub>x</sub> film, we further annealed the NiO<sub>x</sub> films at elevated temperatures (i.e. 350 °C, 400 °C, and 450 °C, respectively) for 1 hr in air.

Device Fabrication: A schematic of a typical two-terminal metal–oxidemetal (MOM) cellular-like device architecture is depicted in Figure 1d. NiO<sub>x</sub> was deposited by a completely inorganic, carbon-free, magnetron sputtering method on Ti/Pt (10/70 nm)-coated silicon substrate (p-Si, 100). At first, the silicon substrates were cleaned with a triple-cleaning method using toluene, acetone, and isopropanol by sonicating for 5 min each and dry blown with N<sub>2</sub> gas. The silicon wafer was heated to 200 °C to remove the moisture from the surface before depositing the Ti/Pt metal. The bottom metal contact (Ti/Pt) was grown by e-beam evaporation. Then the wafer was transferred into the deposition chamber to grow 96 nm NiO<sub>x</sub> film. After the growth of the NiO<sub>x</sub> film, the substrate was transferred to another sputtering chamber with a circular shadow mask to deposit the top metal electrode. A 200 nm palladium (Pd) top electrode was deposited by sputtering at  $10^{-3}$  mbar pressure. All cellular-like devices were stored in ambient environments.

In Situ Electrical Measurements: The electrical characterization of the solid-state NiO<sub>x</sub> devices was performed by constantly measuring the current–voltage (I-V) curves by sweeping the gate voltage from -10 to 10 mV with a step of 5 mV using the Keithley 2635 A source meter. The measurements were performed in a closed shield probe station to avoid electrical noise from the surroundings. The habituation and sensitization training in the array of devices were performed by applying square pulses to the devices connecting them in parallel to the Keithley 2635 A source meter. The resistance measurement was accomplished using LabVIEW programming and connecting to the  $6 \times 8$  LED bulbs.

X-ray Absorption Spectroscopy: Absorption spectroscopy at the O K-edge and Ni L-edge of NiO<sub>x</sub> thin films was performed at beamline 29-ID-D at the Advanced Photon Source, Argonne National Laboratory. Data were collected simultaneously in total electron yield (TEY) and total fluorescence yield (TFY) at room temperature in a pressure better than  $5 \times 10^{-8}$  Torr. TFY signal was collected using a microchannel plate located at 54° with 7° angular acceptance. The incidence angle was set to 30°. Circular-polarized X-ray with an overall energy resolution better than 100 meV was used. Using the drain current from a gold mesh upstream of the sample, both absorption signals were normalized by the incident X-ray intensity. The XANES spectra at the absorption K-edge of Ni of the NiO<sub>x</sub> thin films were taken under ambient temperature and pressure at the beamline 33-ID-D of Advanced Photon Source at Argonne National Laboratory. The acquired XANES data were processed according to standard procedures using the ATHENA software.

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X-ray Photoelectron Spectroscopy: Photoelectron spectroscopy at the O-1s and Ni-2p core levels of NiO<sub>x</sub> thin films was performed in Kratos AXIS ULTRA with a DLD detector at the Birck Nanotechnology Centre, Purdue University, USA. The sample was slightly heated around 50 °C during the time of measurement. The measurement was performed in an ultrahigh vacuum condition. The XPS measurements were conducted with Al K $\alpha$  radiation (1486.6 eV). All XPS spectra were standardized with respect to carbon 1s peak (284 eV). The data were extracted and processed using CasaXPS software (http://www.casaxps.com/).

Midinfrared Near-Field Microscopy: Midinfrared s-SNOM was performed using a commercial setup (neaspec-GMBH) which was based on a tapping-mode atomic force microscopy with a cantilevered metal-coated tip of apex radius of  $\approx$ 30 nm, oscillation frequency of  $\Omega \approx$  280 kHz, and a tapping amplitude of  $\approx$ 100 nm. A monochromatic quantum cascade laser beam at  $\lambda = 10.5 \,\mu\text{m}$  was focused at the tip by a parabolic mirror at angle of 45° to the sample surface. The detection method was based on phase modulation (pseudoheterodyne) interferometry and enabled detection of the backscattered light demodulated at higher harmonics of tip resonance frequency.<sup>[51,52]</sup>

Carrier Concentration Calculation from Midinfrared Near-Field Microscopy: To theoretically estimate the carrier concentration for each NiO<sub>x</sub> sample in Figure 5c, we used the extended finite dipole model for layered systems<sup>[53-56]</sup> assuming a Drude-type dielectric function for NiO<sub>x</sub>. The model describes the tip by a metallic ellipsoid which was illuminated at 45° relative to tip apex. The tip-scattered field was given by  $E_s = se^{(i\varphi)} \propto (1 + r_p)^2 \alpha_{eff} E_{inc}$ , where  $r_p$  is the far-field Fresnel reflection coefficient of the sample,  $E_{inc}$  the incident electric field, and  $\alpha_{eff}$  the effective polarizability of the tip.<sup>[57,58]</sup> The free carrier densities *n* are included in  $r_p$  via the dielectric function of the NiO<sub>x</sub> sample given by  $\varepsilon_{\rm NIO}(\omega) = \varepsilon_{\infty} - \frac{\omega_p^2}{\omega^2 + i\omega/\tau}$ , where  $\varepsilon_{\infty}$  is the high-frequency dielectric function,  $\omega_p = \sqrt{\frac{ne^2}{m^2 + i\omega/\tau}}$  is plasma frequency,  $\tau = \frac{\sigma_0 m^*}{ne^2}$  is electron scattering time,  $m^*$  the effective mass, and  $\sigma_0$  the conductivity.<sup>[59,60]</sup>

To estimate the carrier density (*n*), we fit the normalized experimental data point found by taking the ratio of signal value on NiO<sub>x</sub> to signal value on Pd,  $(s_2(\text{NiO}_x)/s_2(\text{Pd}))$  with the calculated normalized near-field amplitude  $(s_2(\text{NiO}_x)/s_2(\text{Pd}))$ , using the extended finite dipole model, and estimated the carrier densities *n* for each NiO<sub>x</sub> sample in Figure 5c. This procedure gave  $n = 1.96 \times 10^{18} \text{ cm}^{-3}$  (as-prepared NiO<sub>x</sub>),  $n = 1.93 \times 10^{18} \text{ cm}^{-3}$  (annealed at 350 °C),  $n = 1.9 \times 10^{18} \text{ cm}^{-3}$  (annealed at 400 °C), and  $n = 1.87 \times 10^{18} \text{ cm}^{-3}$  (annealed at 450 °C). This first-order estimate showed an expected trend, where the carrier concentration increases with increasing conductivity, NiO<sub>x</sub> (annealed at 450 °C) has the smallest carrier density, and NiO<sub>x</sub> (as prepared) shows the largest density.

First-Principles Electronic Structure Calculation of NiO<sub>x</sub> for XANES Spectroscopy: Density functional theory (DFT) calculations<sup>[61,62]</sup> of NiO<sub>x</sub> systems were performed using the Vienna ab initio Simulation Package (VASP)<sup>[63–65]</sup> with projector-augmented wave (PAW)<sup>[66]</sup> approach. The exchange-correlation functional used was the Perdew–Berke–Ernzerhof (PBE)<sup>[67]</sup>–generalize gradient approximation (GGA).<sup>[68,69]</sup> To model the strongly correlated Ni 3*d* states, Hubbard  $U^{[70]}$  of 7.05 eV was used for Ni.<sup>[71]</sup> All calculations were performed using the Gaussian-smearing algorithm with the cutoff energy for the planewave basis set as 520 eV.  $\Gamma$ -centered *k*-point grids of 30 Å were used. All calculations were spin polarized, and their energy and atomic forces were converged to within  $10^{-4}$  eV and 0.02 eV Å<sup>-1</sup>, respectively. The O K-edge XANES spectra were computed using the FEFF9 package,<sup>[72]</sup> which implemented Green's formulation of the multiple scattering theory.

The initial NiO<sub>x</sub> crystal structure with space group *Fm3m* (mp-19009) was taken from Materials Project.<sup>[73]</sup> A  $2 \times 2 \times 2$  supercell of NiO<sub>x</sub> (32 atoms) was used to accommodate the symmetry of the antiferromagnetic ordering. Oxygen-deficient NiO<sub>x</sub> was modeled by removing on O atom from the fully relaxed NiO<sub>x</sub> supercell. The O K-edge XANES calculations were performed on all symmetrically distinct O sites in stoichiometric and oxygen-deficient NiO<sub>x</sub>. For oxygen-deficient NiO<sub>x</sub>, only O atoms less than 5 Å away from the oxygen vacancy defect were calculated as the XANES resembled that of stoichiometric NiO<sub>x</sub> beyond 5 Å. The calculated site-wise XANES spectra were averaged to give the structure-wise spectra.

Simulation of Oxygen Migration during Training: The resistance of the NiO<sub>x</sub> device was modulated by the concentration of oxygen at the interface at the top electrode. The resistivity of the channel was defined<sup>[74]</sup> as

$$\rho = \rho_0 e^{\frac{n-n_0}{n_d}} \tag{1}$$

where *n* is the concentration of mobile oxygen atom and  $\rho_0$ ,  $n_0$ , and  $n_d$  are fitting parameters. The resistance of the device is defined as

$$R = \int_{x_1}^{x_2} \rho(x) - R_0$$
 (2)

where  $R_0$  is an extra-fitting parameter that is used to simplify the fitting process,  $x_1$  is the *x*-axis of the top electrode, and  $x_2$  is the *x*-axis value at end of the interface region. The oxygen atoms' motion induced by the external potential was formulated by the drift-diffusion equation as follows.

$$\frac{\partial n}{\partial t} = \nabla . (D\nabla n - \nu n) + G \tag{3}$$

where D is the diffusion coefficient, and  $\nu$  is the drift velocity that is defined as

$$\nu = \nu_0 e^{-\frac{E_a}{k_B T}} \sinh\left(\frac{qaE}{mk_B T}\right) \tag{4}$$

where *E* is the electric field, *q* is the electron charge, *a* is the hopping distance, *m* is fitting parameter,  $k_{\rm B}$  is the Boltzmann's constant, *T* is the temperature,  $\nu_0$  is a fitting parameter, *G* is the generation term, and  $E_{\rm a}$  is the diffusion barrier. The generation term *G* is added for sensitization defined as

$$G = A_{\rm C} e^{-\frac{(E_{\rm a} - q)E}{k_{\rm B}T}}$$
<sup>(5)</sup>

where  $A_G$  is the fitting parameter and  $\beta$  is the mesh size. The electric potential and the electric field were calculated by solving the current continuity equation.

$$\nabla . \frac{1}{\rho} \nabla \Psi = 0 \tag{6}$$

The differential Equation (1)-(6) is solved self-consistently<sup>[75]</sup> using the parameters' different values of the parameter (Figure S6, Supporting Information).

Simulation Methodology for Spiking Neural Network (SNN): The spiking neural network (SNN) was implemented using the PyTorch-based BindsNET,<sup>[49]</sup> an open-source library for designing biologically inspired algorithms. The network topology was the same as used in the study by Diehl et al.<sup>[48]</sup> with 225 neurons in the excitatory layer. The inputs were presented to the network as Poisson-encoded spikes, where the probability of spiking at any time step was proportional to the input pixel's value. A Poisson firing rate of 128 Hz was used. Each input image in the training set of 60 000 of the MNIST handwritten dataset<sup>[47]</sup> was presented for 100 ms. LIF neurons were used with the adaptive decay of the membrane potential modeled using the device characteristics. The decay rate was decreased by



#### Table 1. Simulation parameters for the SNN.

	Value
Parameter	
Post- and presynaptic learning rate, $A_+,A$	10 <sup>-2</sup> , 10 <sup>-4</sup>
Refractory period	5 ms
Time constant for STDP dynamics, $ au$	50.0
Weight range for the excitatory synapses	0.0–1.0
Synaptic weights from excitatory to inhibitory layer, $w_{ m exc-inh}$	22.5
Synaptic weights from inhibitory to excitatory layer, $w_{\rm inh-exc}$	-240
Normalization factor	78.4

increasing the operating electric field of the device. Note that while this might impact the integration level of the neuron device, we considered that this could be offset by pulse width modulation of the input pulses to the neuron. Further device characterization studies had to be performed to validate this effect from a system formulation viewpoint. After each spike, the neuron was inhibited from firing again for a fixed refractory period, discarding any inputs that arrived meanwhile. The synaptic weights between the input and the excitatory layer are updated according to the standard spike-timing dependent plasticity (STDP) rule<sup>[76]</sup> of

$$\Delta w = \begin{cases} A_{+} \exp\left(\frac{-\Delta t}{\tau}\right) \Delta t > 0\\ -A_{-} \exp\left(\frac{\Delta t}{\tau}\right) \Delta t < 0 \end{cases}$$
(7)

where  $\Delta t$  is timing difference between pre- and postspikes,  $A_+$  and  $A_-$  are the pre- and postsynaptic learning rates, and  $\tau$  is the time constant of the STDP dynamics. The other connections between the layers ( $w_{exc-inh}$ ,  $w_{inh-exc}$ ) were kept fixed. The inhibitory layer was connected to the excitatory layer in a one-to-one manner and implemented the functionality of lateral inhibition. This ensured that different neurons learn different input patterns. Finally, we normalized the weights such that the neurons in the network were equally used.<sup>[77]</sup> We trained the network over the entire dataset for three epochs with a batch size of eight. Neurons were assigned classes based on their highest spiking rate. The network parameters used for training are listed in **Table 1**.

#### **Supporting Information**

Supporting Information is available from the Wiley Online Library or from the author.

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#### **Conflict of Interest**

The authors declare no conflict of interest.

#### **Author Contributions**

S.M., Z.Z., and S.R. conceived the project; S.M. and Z.Z. worked on NiO<sub>x</sub> growth; S.M. fabricated the NiO<sub>x</sub> thin-film devices and performed electrical habituation and sensitization measurements; S.G, N.A.A., and Y.A. conducted midinfrared nanoimaging; O.W. measured Raman spectroscopy; H.Z and F.R. performed X-ray absorption measurements; F.R. performed X-ray absorption near-edge structure measurement near the Ni L-edge and O K-edge of devices; S.M. and Z.Z. analyzed the X-ray absorption spectroscopy results; R.A. and K.R. conducted oxygen vacancy migration simulation; R.T, J.K., C.C., and S.P.O. perform the calculation of first-principles electronic structure of NiO<sub>x</sub> absorption spectroscopy; A. N. M. N. I. and A. S. performed the spiking neural network simulations with unsupervised learning; and S.M., and S.R. wrote the manuscript. All authors discussed the results and commented on the manuscript.

#### **Code Availability**

The spiking neural network (SNN) learning simulation has been performed using PyTorch-based BindsNET and is available from the authors via proposal submission.

#### Data Availability Statement

The data that support the findings of this study are available on request from the corresponding author. The data are not publicly available due to privacy or ethical restrictions.

#### **Keywords**

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